## JC14 Rec'd PCT/PTO 08 MAY 2002

| CERTIFICATE OF I         | MAIL" (37 CFR 1.10)                | Docket No.<br>101195-63   |                            |  |  |  |
|--------------------------|------------------------------------|---|----------------------------|--|--|--|
| Serial No.<br>09/937,126 | Filing Date<br>Sept. 20, 2001      | Examiner<br>TBA   | Group Art Unit<br>TBA      |  |  |  |
| nvention: Immunoadso     | rber for Use in Sepsis Therapy     |   |                            |  |  |  |
|                          |                                    | copy; copy of Notification of Miss  | ing Requirements; petition |  |  |  |
| ,                        | n the United States Postal Service | of correspondence) ce "Express Mail Post Office to A nt Commissioner for Patents, Wa                        |                            |  |  |  |
| May 8,                   | <del></del>                        |   |                            |  |  |  |
|                          |                                    | Kathleen D. Mor   |                            |  |  |  |
|                          |                                    | (Typed or Printed Name of Person Mailing Correspondence)  Other Signature of Person Mailing Correspondence) |                            |  |  |  |
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| FORM<br>(REV I | PTO-139     | 90 (Modified) U.S. DEPAR                                      | OF COMMERCE PATENT AND TRADEMARK OFFICE              | A NEY'S DOCKET NUMBER                                   |  |  |  |  |
| (              |             |   | TO THE UNITED STATES                                 | 101195-63   |  |  |  |  |
|                |             | DESIGNATED/ELECTI   | ED OFFICE (DO/EO/US)                                 | U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR              |  |  |  |  |
|                |             | CONCERNING A FILIN  | IG UNDER 35 U.S.C. 371                               | 09/937,126  |  |  |  |  |
| INTE           |             | IONAL APPLICATION NO. PCT/DE00/00927                          | INTERNATIONAL FILING DATE 23 March 2000 (23.03.00)   | PRIORITY DATE CLAIMED  26 March 1999 (26.03.99)         |  |  |  |  |
|                |             | NVENTION  |  |   |  |  |  |  |
| Imn            | nuno        | adsorber for Use in Sepsis Th                                 | nerapy   |   |  |  |  |  |
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|                |             | T(S) FOR DO/EO/US   |  |   |  |  |  |  |
| па             | 112- 44     | erner Heinrich et al.   |  |   |  |  |  |  |
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| Appı           |             |   | tes Designated/Elected Office (DO/EO/US) t           | ,   |  |  |  |  |
| 1.             |             |   | tems concerning a filing under 35 U.S.C. 371         |   |  |  |  |  |
| 2.             | $\boxtimes$ |   | QUENT submission of items concerning a filing        | -   |  |  |  |  |
| 3.             |             | (9) and (24) indicated below.                                 | in national examination procedures (35 U.S.C         | C. 371(f)). The submission must include itens (5), (6), |  |  |  |  |
| 4.             |             |   | expiration of 19 months from the priority date       | e (Article 31).   |  |  |  |  |
| 5.             |             | A copy of the International Appl                              | lication as filed (35 U.S.C. 371 (c) (2))            |   |  |  |  |  |
|                |             | a.  is attached hereto (requ                                  | ired only if not communicated by the Interna         | ational Bureau).  |  |  |  |  |
|                |             | b.  has been communicated                                     | d by the International Bureau.                       |   |  |  |  |  |
|                |             | c.  is not required, as the a                                 | application was filed in the United States Reco      | eiving Office (RO/US).                                  |  |  |  |  |
| 6.             |             | An English language translation                               | of the International Application as filed (35 U      | U.S.C. 371(c)(2)).                                      |  |  |  |  |
|                |             | a.   is attached hereto.                                      |  |   |  |  |  |  |
|                |             | b.  has been previously sul                                   | bmitted under 35 U.S.C. 154(d)(4).                   | •   |  |  |  |  |
| 7.             |             |   | e International Application under PCT Article        |   |  |  |  |  |
|                |             | a. are attached hereto (rec                                   | quired only if not communicated by the Intern        | ational Bureau).  |  |  |  |  |
|                |             | b.   have been communicat                                     | ed by the International Bureau.                      |   |  |  |  |  |
|                |             | _   | owever, the time limit for making such amend         | lments has NOT expired.                                 |  |  |  |  |
|                | _           | d.  have not been made an                                     |  |   |  |  |  |  |
| 8.             |             |   | of the amendments to the claims under PCT            | Article 19 (35 U.S.C. 371(c)(3)).                       |  |  |  |  |
| 9.             |             | An oath or declaration of the inv                             |  | E ' ' P . I POT   |  |  |  |  |
| 10.            |             | Article 36 (35 U.S.C. 371 (c)(5))                             | of the annexes to the International Preliminar<br>). | ry Examination Report under PC1                         |  |  |  |  |
| 11.            |             | A copy of the International Preli                             | minary Examination Report (PCT/IPEA/409)             | ı.  |  |  |  |  |
| 12.            |             | A copy of the International Search                            | ch Report (PCT/ISA/210).                             |   |  |  |  |  |
| It             | ems 1       | 3 to 20 below concern document                                | t(s) or information included:                        |   |  |  |  |  |
| 13.            |             | An Information Disclosure State                               | ement under 37 CFR 1.97 and 1.98.                    |   |  |  |  |  |
| 14.            |             | An assignment document for rec                                | ording. A separate cover sheet in compliance         | with 37 CFR 3.28 and 3.31 is included.                  |  |  |  |  |
| 15.            |             | A FIRST preliminary amendment                                 |  |   |  |  |  |  |
| 16.            |             | A SECOND or SUBSEQUENT  | preliminary amendment.                               |   |  |  |  |  |
| 17.            |             | •   |  |   |  |  |  |  |
| 18.            |             |   |  |   |  |  |  |  |
| 19.            | ⊠<br>□      | ,                       |  |   |  |  |  |  |
| 20.            |             | 17  |  |   |  |  |  |  |
| 21.<br>22.     | ⋈           | _   | nguage translation of the international applica      | non under 35 U.S.C. 154(d)(4).                          |  |  |  |  |
| 22.<br>23.     | ⊠<br>⊠      | Certificate of Mailing by Express Other items or information: | 5 iviaii   |   |  |  |  |  |
| 23.            | E.N         |   | Doguiromento, notition for a consultation            | when single of the towns and the second                 |  |  |  |  |
|                |             | listing and cover letter with am                              |  | xtension of the term; paper copy of sequence            |  |  |  |  |

| Ų.S. A  | PPLICA                |                     | NO. (IF KNOWN, SE DEFR<br>09/937,126  | INTERNATIONAL APPLICATION NO. PCT/DE00/00927   |                   |  |  | ATTORNEY'S DOCKET NUMBER 101195-63    |  |  |
|---|-----------------------|---------------------|---|--|-------------------|--|--|---------------------------------------|--|--|
|   | C NATI<br>Neither     | IONA<br>er inter    | llowing fees are submitted:.  AL FEE ( 37 CFR 1.492 (a) (1) - (crnational preliminary examination | n fee (37 CFR 1.482) nor                       | r                 |  | <del>T '</del>                             | NS PTO USE ONLY                       |  |  |
|   | interna               | ational             | al search fee (37 CFR 1.445(a)(2)) tional Search Report not prepared                              | paid to USPTO                                  |                   | \$1040.00                                |  |                                       |  |  |
|   | Interna               | ational             | al preliminary examination fee (37<br>International Search Report prepa                           | CFR 1.482) not paid to                         |                   |  |  |                                       |  |  |
|   | Interna               | ational             | all preliminary examination fee (37 ional search fee (37 CFR 1.445(a))                            | CFR 1.482) not paid to I                       | USPTO             | )  |  |                                       |  |  |
|   | Interna<br>but all    | ational<br>l claims | al preliminary examination fee (37 ns did not satisfy provisions of PC                            | CFR 1.482) paid to USP<br>CT Article 33(1)-(4) | PTO               |  | 1  |                                       |  |  |
|   | Interna<br>and all    | itional<br>claim    | al preliminary examination fee (37 ns satisfied provisions of PCT Arti ENTER APPROPRIA            | ticle 33(1)-(4)                                |                   | \$100.00                                 |  | r                                     |  |  |
| Surcha  | arge of \$            | \$130.f             | 00 for furnishing the oath or declar  | aration later than                             | ☐ AM(             |  | \$0.00                                     | <b>_</b>                              |  |  |
| month:  | s from th             | ne ear              | rliest claimed priority date (37 CF   | FR 1.492 (e)).                                 |                   |  | \$0.00                                     | <u> </u>                              |  |  |
| CL. Total c   | AIMS                  | $\longrightarrow$   | NUMBER FILED - 20 =   | NUMBER EXTRA                                   | <u>A</u>          | x \$18.00                                | \$0.00                                     | Γ                                     |  |  |
|   | endent cl             | laims               | <del></del>   | 0  |                   | x \$84.00                                | \$0.00                                     | · · · · · · · · · · · · · · · · · · · |  |  |
|   |                       |                     | t Claims (check if applicable).   |  |                   |  | \$0.00                                     |                                       |  |  |
|   |                       |                     | TOTAL OF  | ABOVE CALCU                                    |                   |  | \$0.00                                     |                                       |  |  |
| ⊠ A<br>re   | Applicant<br>educed b | t clain<br>by 1/2   | ms small entity status. See 37 CFR<br>2.  | 1.27). The fees indicate                       | ed abov           | e are                                    | \$0.00                                     |                                       |  |  |
|   |                       |                     |   |  | SUB7              | TOTAL =                                  | \$0.00                                     |                                       |  |  |
| Proces  | sing fee<br>s from t  | of \$17<br>he ear   | 130.00 for furnishing the English to rliest claimed priority date (37 CF)                         | ranslation later than                          | □ 20              | 0 🗆 30 +                                 | \$0.00                                     |                                       |  |  |
|   |                       |                     | The statement Processing  | TOTAL NATIO                                    | ONAI              |  | \$0.00<br>\$0.00                           |                                       |  |  |
| Fee for   | recordi<br>panied t   | ing the             | e enclosed assignment (37 CFR 1. appropriate cover sheet (37 CFR 3                                | .21(h)). The assignment                        | t must be         | pe _                                     | \$0.00                                     |                                       |  |  |
|   |                       | <u>-</u>            | <del></del>   | TOTAL FEES E                                   | <del>-</del>      |  | \$0.00                                     |                                       |  |  |
|   |                       |                     |   |  |                   |  | Amount to be: refunded                     | \$                                    |  |  |
|   |                       |                     |   |  |                   |  | charged                                    | \$                                    |  |  |
| a.  |                       | A ch                | neck in the amount of   | to cover the abo                               | ove fees          | s is enclosed.                           |  |                                       |  |  |
| b.  |                       |                     | se charge my Deposit Account No uplicate copy of this sheet is enclose                            |  | the amo           | ount of                                  | to cover the above fees.                   |                                       |  |  |
| c.  | $\boxtimes$           | The (<br>to De      | Commissioner is hereby authorize eposit Account No. 14-1263                                       | ed to charge any additiona  A duplicate copy o | al fees of this s | which may be required sheet is enclosed. | uired, or credit any c                     | verpayment                            |  |  |
| d.  |                       | Fees :              | are to be charged to a credit card.  rmation should not be included o                             | . WARNING: Information                         | ion on th         | his form may beco                        | ome public. Credit con authorization on PT | ard<br>'O-2038.                       |  |  |
| NOTE<br> .137(a   | : Wher                | re an a             | appropriate time limit under 37 st be filed and granted to restore                                | CFR 1.494 or 1.495 has                         | ıs not be         | een met, a petitio                       |  | -                                     |  |  |
|   |                       |                     | ESPONDENCE TO:  | •  | · _               | The second                               | X_//                                       |                                       |  |  |
|   | S.Londa               | • •                 |   |  |                   | SIGNATURE                                | -//-                                       |                                       |  |  |
| Norris, Mc Laughlin & Marcus P.A.<br>220 East 42nd Street, 30th Floor |                       |                     |   | BruceS. Londa                                  |                   |  |  |                                       |  |  |
|   | York, NY<br>hone: 21  |                     |   |  |                   | NAME                                     | <u>a</u>                                   |                                       |  |  |
|   | mile: 21              |                     |   |  |                   | 33,531                                   |  |                                       |  |  |
|   |                       |                     | •   |  |                   | REGISTRATIO                              | N NUMBER                                   |                                       |  |  |
|   |                       |                     |   |  |                   | May 8, 2002                              |  |                                       |  |  |
|   |                       |                     |   |  |                   | DATE                                     |  |                                       |  |  |
|   |                       |                     |   |  |                   |  |  |                                       |  |  |